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DUCKETED Page 1 of 2

JAN 07 2004

JAN 07 2004

UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
 United States Patent and Trademark Office
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 www.uspto.gov

APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/680,722	10/07/2003	Brad J. Block	105967.0101

Pepper Hamilton LLP
 One Mellon Center
 50th Floor
 500 Grant Street
 Pittsburgh, PA 15219

CONFIRMATION NO. 2649

FORMALITIES LETTER



OC000000011610142

Date Mailed: 01/05/2004

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

03/11/2004 ADANTE1 00000039 10680722

01 FC:1001 -770.00 OP
 02 FC:1051 -130.00 OP
 03 FC:1201 -602.00 OP
 04 FC:1202 -270.00 OP

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 770 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Replacement drawings in compliance with 37 CFR 1.84 and 37 CFR 1.121 are required. The drawings submitted are not acceptable because:
 - The drawings have a line quality that is too light to be reproduced (weight of all lines and letters must be heavy enough to permit adequate reproduction) or text that is illegible (reference characters, sheet numbers, and view numbers must be plain and legible) see 37 CFR 1.84(l) and (p)(1)); See Figure(s) 5A & 5B.

The applicant needs to satisfy supplemental fees problems indicated below.

Adjustment date: 06/21/2004 EEKUBAY1
 03/11/2004 ADANTE1 00000039 10680722

01 FC:1001 -770.00 OP
 02 FC:1051 -130.00 OP
 03 FC:1201 -602.00 OP
 04 FC:1202 -270.00 OP

06/21/2004 EEKUBAY1 00000006 10680722
 01 FC:2001 385.00 OP
 02 FC:2051 65.00 OP
 03 FC:2201 301.00 OP
 04 FC:2202 135.00 OP
 Repln. Ref: 06/21/2004 EEKUBAY1 0010153800
 DAH:500436 Name/Number:10680722
 FC: 9204 \$886.00 CR

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Packet No. 105967.00101

PATENT

STATUS AND ENTITY

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Brad J. Block et al.

Group Art Unit: 3626

Serial No.: 10/680,722

Examiner: Not yet assigned

Filed: October 7, 2003

For: METHOD AND APPARATUS FOR ACCESSING AND SYNCHRONIZING
MULTIPLE HEALTH CARE DATABASES

**REQUEST UNDER 37 C.F.R. § 1.28(A) FOR REFUND OF EXCESS FILING FEE PAID
BASED ON ESTABLISHMENT OF SMALL ENTITY STATUS**

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

This is a request under 37 C.F.R. § 1.28(a) for a refund of a portion of the basic filing fee and extra claim fees paid in the above-referenced application.

When the basic filing fee and extra claim fees were paid on March 5, 2004, the Fee Transmittal Form incorrectly included fee calculations based on large entity status. A copy of the Fee Transmittal Form is attached as Exhibit A.

The owner of the entire right, title, and interest in and to this patent application is Doylestown Hospital, a not-for-profit organization having an address of 595 West State Street, Doylestown, PA 18901. A copy of the applicable Assignment document, which was filed with the Assignment Division on November 3, 2003, is attached as Exhibit B. Accordingly, this application is entitled to small entity status.

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Docket No.: 105967.0101

PATENT

STATUS AND LITIGATION
SEARCHED
SERIALIZED
INDEXED
FILED
MAR 17 2004
FBI - PITTSBURGH

The total basic filing fee and extra claim fees paid on March 5, 2004 were \$1,642.00. The filing fee and extra claim fees based on small entity status are \$821.00.

Accordingly, a refund of the excess payment in the amount of \$821.00 is hereby requested.

The Office is hereby authorized to credit the refund to Deposit Account No. 50-0436.

This Request is submitted within three months of the payment of the full fee, as required by 37 C.F.R. § 1.28(a).

Respectfully submitted,

PEPPER HAMILTON LLP



James M. Singer
Registration No. 45,111

One Mellon Center, 50th Floor
500 Grant Street
Pittsburgh, PA 15219
Phone: (412) 454-5023
Fax: (412) 281-0717
Date: March 5, 2004

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Docket No.: 105967.0101

PATENT

STATUS AND ENTITY
BRANCH

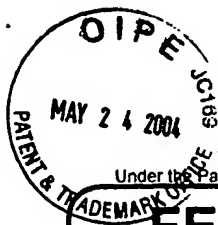
MAY 22 2004

EXHIBIT A

FEE TRANSMITTAL FOR FY 2004



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Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ 1772

Approved for use through 07/31/2008, OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Complete If Known

Application Number 10/680,722
Filing Date October 7, 2003
First Named Inventor Brad J. Block
Examiner Name Not yet assigned
Art Unit 3626
Attorney Docket No. 105967.0101

METHOD OF PAYMENT (check all that apply)

☒ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None

☐ Deposit Account:

Deposit Account Number 50-0436

Deposit Account Name

The Director is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☐ Credit any overpayments

☒ Charge any additional fee(s) or any underpayment of fee(s)

☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
1001 770	2001 385	Utility filing fee	770
1002 340	2002 170	Design filing fee	
1003 530	2003 265	Plant filing fee	
1004 770	2004 385	Reissue filing fee	
1005 160	2005 80	Provisional filing fee	

SUBTOTAL (1) (\$ 770

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims 35 -20** = 15 x 18 = 270
Independent Claims 10 -3** = 7 x 86 = 602
Multiple Dependent

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
1202 18	2202 9	Claims in excess of 20
1201 86	2201 43	Independent claims in excess of 3
1203 290	2203 145	Multiple dependent claim, if not paid
1204 86	2204 43	** Reissue independent claims over original patent
1205 18	2205 9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$ 872

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Small Entity

Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
1051 130	2051 65	Surcharge - late filing fee or oath	130
1052 50	2052 25	Surcharge - late provisional filing fee or cover sheet	
1053 130	2053 130	Non-English specification	
1812 2,520	2812 2,520	For filing a request for ex parte reexamination	
1804 920*	2804 920*	Requesting publication of SIR prior to Examiner action	
1805 1,840*	2805 1,840*	Requesting publication of SIR after Examiner action	
1251 110	2251 55	Extension for reply within first month	
1252 420	2252 210	Extension for reply within second month	
1253 950	2253 475	Extension for reply within third month	
1254 1,480	2254 740	Extension for reply within fourth month	
1255 2,010	2255 1,005	Extension for reply within fifth month	
1401 330	2401 165	Notice of Appeal	
1402 330	2402 165	Filing a brief in support of an appeal	
1403 290	2403 145	Request for oral hearing	
1451 1,510	2451 1,510	Petition to institute a public use proceeding	
1452 110	2452 55	Petition to revive - unavoidable	
1453 1,330	2453 665	Petition to revive - unintentional	
1501 1,330	2501 665	Utility issue fee (or reissue)	
1502 480	2502 240	Design issue fee	
1503 640	2503 320	Plant issue fee	
1460 130	2460 130	Petitions to the Commissioner	
1807 50	2807 50	Processing fee under 37 CFR 1.17(q)	
1806 180	2806 180	Submission of Information Disclosure Stmt	
8021 40	2801 40	Recording each patent assignment per property (times number of properties)	
1809 770	2809 385	Filing a submission after final rejection (37 CFR 1.129(a))	
1810 770	2810 385	For each additional invention to be examined (37 CFR 1.129(b))	
1801 770	2801 385	Request for Continued Examination (RCE)	
1802 900	2802 900	Request for expedited examination of a design application	

Other fee (specify)

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 130

SUBMITTED BY

Name (Print/Type) Joseph T. Helmsen

Registration No. 54,163
(Attorney/Agent)

(Complete if applicable)

Telephone 412-454-5804

Signature

Joseph T. Helmsen

Date

3/5/04

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

ET 969960687 US

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Docket No.: 105967.0101

PATENT

STATUS AND PRIORITY
EXAMINATION

201 MAY 23 PM 2:22



EXHIBIT B

ASSIGNMENT

ASSIGNMENT

2004 MAY 23 PM 2:22

WHEREAS we, Brad J. Block of Doylestown, PA; Julie E. Fenley of Ambler, PA; Ted Harrison of Whitby, Canada; Carolyn G. Muhlbauer of Hilltown, PA; and Patricia J. Witek of Doylestown, PA; (each, an "Assignor"), have made a certain new and useful invention as set forth and disclosed in an application for United States Letters Patent, entitled Method and System for Accessing and Synchronizing Multiple Health Care Databases, which (check one): [] is being filed with the United States Patent and Trademark Office herewith, OR [X] was filed with the United States Patent and Trademark office on 10/7/2003, as application serial number 10/680,722;

AND WHEREAS, Doylestown Hospital, a not-for-profit organization having an address of 595 West State Street, Doylestown, PA 18901 ("Assignee"), desires to acquire the entire right, title and interest in and to said invention and in and to any and all Letters Patent of the United States and foreign countries that may be obtained therefor;

NOW, THEREFORE, for good and valuable consideration, the receipt for and sufficiency of which is hereby acknowledged, each Assignor does hereby sell, assign, transfer and set over unto Assignee, its legal representatives, successors, and assigns, his or her (as applicable) entire right, title and interest in and to said invention as set forth in the above-mentioned application, including any formal applications, continuations, continuations-in-part, divisions, reissues, re-examinations or extensions thereof, and in and to any and all patents of the United States and foreign countries which may be issued for said invention;

UPON SAID CONSIDERATION, each Assignor hereby agrees with Assignee that, as an undersigned Assignor, he/she will not execute any writing or do any act whatsoever conflicting with these presents, and that as an undersigned Assignor he/she will, at any time upon request, without further or additional consideration but at the expense of said Assignee, execute such additional assignments and other writings and do such additional acts as said Assignee may deem necessary or desirable to perfect the Assignee's enjoyment of this grant, and render all necessary assistance in making application for and obtaining original, divisional, continuations, continuations-in-part, reexamined, reissued, or extended Letters Patent of the United States or of any and all foreign countries on said invention, and in enforcing any rights or choses in action accruing as a result of such applications or patents, by giving testimony in any proceedings or transactions involving such applications or patents and by executing preliminary statements and other affidavits, it being understood that the foregoing covenant and agreement shall bind, and inure to the benefit of the assigns and legal representatives of Assignors and Assignee;

The undersigned hereby grant(s) the firm of PEPPER HAMILTON LLP the power to insert on this Assignment any further identification, including the application number and filing date, which may be necessary or desirable in order to comply with the rules of the United States Patent and Trademark Office for recordation of this document.

AND, each Assignor requests the Commissioner of Patents and Trademarks to issue any Letters Patent of the United States that may be issued for said invention to said Assignee, its legal representatives, successors or assigns, as the sole owner of the entire right, title and interest in and to said patent and the invention covered thereby.

STATE AND COUNTY
BRUNNEN

MAY 23 PM 2:22

Date: 10/03/03, 2003



Brad J. Block

[STATE]

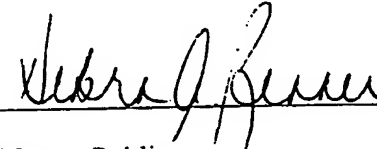
[COUNTY]

Pennsylvania
Bucks

)
)
) SS:

On this 3rd day of October, 2003, before me personally appeared Brad J. Block, to me known to be the person named in and who executed the above instrument, and acknowledged to me that she executed the same for the uses and purposes therein set forth.

SEAL



Notary Public

My commission expires 5/19/07

COMMONWEALTH OF PENNSYLVANIA

Notarial Seal
Debra A. Renner, Notary Public
Doylestown Twp., Bucks County
My Commission Expires May 19, 2007
Member, Pennsylvania Association Of Notaries

10/13/03 10:22

Date: 10/3, 2003

Julie E. Fenley
Julie E. Fenley

[STATE] Pennsylvania
[COUNTY] Bucks

)
)
) SS:

On this 3rd day of October, 2003, before me personally appeared Julie E. Fenley, to me known to be the person named in and who executed the above instrument, and acknowledged to me that she executed the same for the uses and purposes therein set forth.

SEAL

Debra A. Renner

Notary Public

My commission expires 5/19/07

COMMONWEALTH OF PENNSYLVANIA

Notarial Seal
Debra A. Renner, Notary Public
Doylestown Twp., Bucks County
My Commission Expires May 19, 2007
Member, Pennsylvania Association Of Notaries

Date: Oct 22nd, 2003

Ted Harrison
Ted Harrison

[STATE] Pennsylvania
[COUNTY] Bucks

SS:

On this 22nd day of October, 2003, before me personally appeared Ted Harrison, to me known to be the person named in and who executed the above instrument, and acknowledged to me that she executed the same for the uses and purposes therein set forth.

S E A L

Debra A. Renner
Notary Public

My commission expires 5/19/07

COMMONWEALTH OF PENNSYLVANIA
Notarial Seal
Debra A. Renner, Notary Public
Doylestown Twp., Bucks County
My Commission Expires May 19, 2007
Member, Pennsylvania Association Of Notaries

STATUS AND ENTRY
BRANCH

2007 MAY 23 PM 3:22

Date: 10/1, 2003

Carolyn G. Muhlbauer
Carolyn G. Muhlbauer

[STATE] Pennsylvania
[COUNTY] Bucks

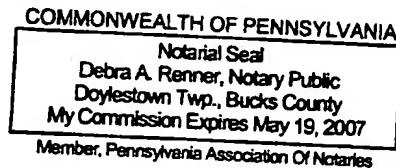
SS:

On this 1st day of October, 2003, before me personally appeared Carolyn G. Muhlbauer, to me known to be the person named in and who executed the above instrument, and acknowledged to me that she executed the same for the uses and purposes therein set forth.

SEAL

Debra A. Renner
Notary Public

My commission expires _____



STATUS AND ENTITY
BRANCH

Date: Oct 2, 2003

2004 MAY 23 PM 2: 22

Patricia J. Witek
Patricia J. Witek

[STATE] Pennsylvania
[COUNTY] Bucks

SS:

On this 2nd day of October, 2003, before me personally appeared Patricia J. Witek; to me known to be the person named in and who executed the above instrument, and acknowledged to me that she executed the same for the uses and purposes therein set forth.

SEAL

Debra A. Renner

Notary Public

My commission expires _____

COMMONWEALTH OF PENNSYLVANIA
Notarial Seal
Debra A. Renner, Notary Public
Doylestown Twp., Bucks County
My Commission Expires May 19, 2007
Member, Pennsylvania Association Of Notaries



CERTIFICATE OF MAILING/TRANSMISSION (37 C.F.R. 1.8(a))

STATUS AND EIGHTY
BRANCH

2004 MAY 24 PM 2:22

APPLICANT: Brad J. Block et al.

TITLE: Method and Apparatus for Accessing and Synchronizing Multiple Health Care Databases

ATTORNEY REF: 105967.00101

SERIAL NO. 10/680,722

DATE OF DEPOSIT: May 20, 2004

I hereby certify that, on the date shown below. This correspondence is being:

MAILING

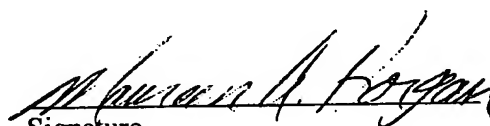
FACSIMILE

☒ deposited with the United States Postal Service
with sufficient postage as United States First Class mail
in an envelope addressed to:

☐ transmitted by facsimile to the Patent
and Trademark Office

Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Date: May 20, 2004


Signature

Maureen A. Horgan
(type or print name of person certifying)